

B Homology of 4-manifolds

Lemma 5:

If $X^4 = (0\text{-handle}) \cup k(2\text{-handles})$ then

$$H_0(X) \cong \mathbb{Z}, H_1(X) = 0 \text{ and}$$

$$1) H_2(X) \cong \oplus_k \mathbb{Z} \text{ generated by 2-handles}$$

specifically: 2-handles attached to $L_1 \cup \dots \cup L_k$, let Σ_i be a Seifert surface for L_k pushed into $\text{interior}(B^4)$ and $A_i = \Sigma_i \cup C_i$ where C_i is the core of 2-handle

$$2) H_2(X, \partial X) \cong \oplus_k \mathbb{Z} \text{ generated by the co-cores of the 2-handles}$$

Proof:

$$1) \text{ let } X_2 = (0\text{-handles}) \cup 1^{\text{st}} i(2\text{-handles})$$

$$\text{note } X_{i+1}/X_i \cong S^2$$

$$\begin{array}{ccccccc} \text{so } H_3(X_{i+1}, X_i) & \rightarrow & H_2(X_i) & \rightarrow & H_2(X_{i+1}) & \rightarrow & H_2(X_i, X_{i+1}) \rightarrow H_1(X_i) \\ \parallel & & & & & & \parallel \\ 0 & & & & A_{i+1} \mapsto \pm 1 \in \mathbb{Z} & & 0 \end{array}$$

$$\therefore H_2(X_{i+1}) \cong H_2(X_i) \oplus \mathbb{Z} \quad \swarrow \text{gen by } A_{i+1}$$

so inductively $H_2(X)$ is as claimed.

2) since $H_1(X) = 0$, Universal Coefficients gives

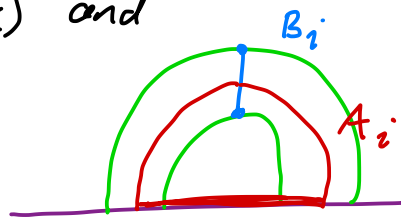
$$H^2(X) \cong H_2(X)$$

and Poincaré duality gives $H_2(X, \partial X) \cong H^2(X) \cong \bigoplus_k \mathbb{Z}$

note: if B_i is the cocore to i^{th} 2-handle

then $[B_i] \in H_2(X, \partial X)$ and

$$B_i \cdot A_j = \delta_{ij}$$



so B_i gives elt $H^2(X) \cong \text{Hom}(H_2(X), \mathbb{Z})$

that is dual to A_i

i.e. B_i 's generate $H_2(X, \partial X)$ 

Theorem 6:

let $L_1 \cup \dots \cup L_n$ be a link in S^3 and X be the 4-mfd obtained from B^4 by attaching 2-handles to B^4 along the L_i with framing n_i

$$\text{let } a_{ij} = \begin{cases} \text{lk}(L_i, L_j) & i \neq j \\ n_i & i = j \end{cases}$$

the matrix $A = (a_{ij})$ is called the linking matrix

$$\begin{array}{ccccccc} \text{we have} & H_2(X) & \rightarrow & H_2(X, \partial X) & \xrightarrow{\partial} & H_1(\partial X) & \rightarrow & H_1(X) \\ & \parallel & & \parallel & & & & \parallel \\ & \bigoplus_k \mathbb{Z} & \xrightarrow{A} & \bigoplus_k \mathbb{Z} & & & & 0 \end{array}$$

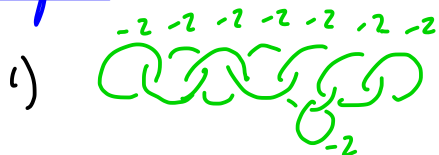
where we use A_i as a basis for $H_2(X)$ and

B_i " " $H_2(X, \partial X)$

Thus if μ_i is the meridian to L_i then we have a presentation for the homology of ∂X :

$$H_1(\partial X) \cong \langle \mu_1, \dots, \mu_n \mid a_{11}\mu_1 + a_{12}\mu_2 + \dots, \dots \rangle$$

example:



linking matrix $A = \begin{pmatrix} -2 & 1 & & & & & & \\ & -2 & 1 & & & & & \\ & & -2 & 1 & & & & \\ & & & -2 & 1 & & & \\ & & & & -2 & 1 & & \\ & & & & & -2 & 1 & \\ & & & & & & -2 & 1 \\ & & & & & & & -2 \end{pmatrix}$ ↖ Eg matrix

you can compute $\det A = 1$

so A is an isomorphism $\oplus_k \mathbb{Z} \rightarrow \oplus_k \mathbb{Z}$

and so $H_1(\partial X) = 1$

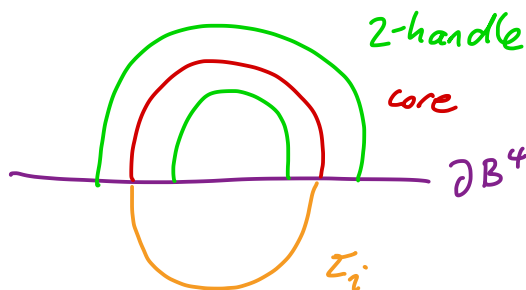
Proof: we first note that

$$H_2(X, \partial X) \rightarrow H_1(\partial X)$$

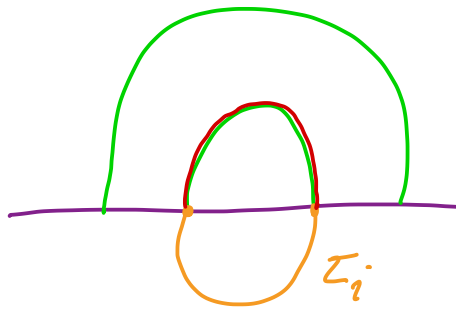
is just a boundary map so $\partial(B_i) = \mu_i$, so μ_i generate $H_1(\partial X)$

now $H_2(X) \rightarrow H_2(X, \partial X)$ is just inclusion so we need to write the A_i in terms of the B_i

recall $A_i = \Sigma_i \cup \text{core}$

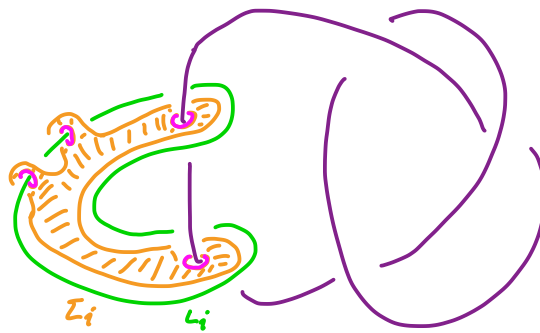


push core to ∂X



anything in ∂X "disappears" in $H_2(X, \partial X)$

so we just have Σ_i with its boundary linking L_i , n_i times in S^3



now push Σ_i into ∂X

can do this except for parts where some other component

$L_j \cap \Sigma_i$ and where $L_i \cap \Sigma_i$

these are precisely meridian curves bounding B_i in X

so $A_i \mapsto a_{1i} B_1 + a_{2i} B_2 + \dots + a_{ki} B_k$



We now study $H_2(X)$ when X is closed

Recall $H_2(X) \cong H^2(X)$ (if $H_1(X)$ has no torsion)

and $H^2(X)$ has a cup-product pairing

$$H^2(X) \times H^2(X) \rightarrow H^4(X) \cong \mathbb{Z}$$

we interpret this geometrically

Lemma 7:

If X is a closed oriented 4-manifold then any $a \in H_2(X)$ is represented by an oriented surface $\Sigma \subset X$
i.e. $[\Sigma] = a$

Proof: $H_2(X) \cong H^2(X) \xrightarrow{\text{Broun}} [X; K(\mathbb{Z}, 2)]$

now $K(\mathbb{Z}, 2) = \mathbb{C}P^\infty = 0\text{-cell} \cup 2\text{-cell} \cup 4\text{-cell} \cup \dots$

so any map $f: X \rightarrow \mathbb{C}P^\infty$ is homotopic to

$$f: X \rightarrow \mathbb{C}P^2$$

(indeed, $f(X) \subset \mathbb{C}P^n$ some n since it is compact

now make f transverse to center of $2n$ -cell
thus f disjoint from it if $n > 2$, and so f can be
homotoped off of it, i.e. into $\mathbb{C}P^{n-1}$

so inductively get $f(X) \subset \mathbb{C}P^2$)

$$H_2(\mathbb{C}P^2) \cong \mathbb{Z} \text{ generated by } \mathbb{C}P^1 \subset \mathbb{C}P^2$$

make f transverse to $\mathbb{C}P^1$ and set $\Sigma = f^{-1}(\mathbb{C}P^1)$

$$\begin{array}{ccc} H_2(X) & \cong & H^2(X) \\ \downarrow f_* & & \uparrow f^* \\ H_2(\mathbb{C}P^2) & \cong & H^2(\mathbb{C}P^2) \\ \cong & & \cong \\ \mathbb{Z} & & \mathbb{Z} \\ \text{gen by } [\mathbb{C}P^1] & & \text{gen by P.D. } [\mathbb{C}P^1] \end{array}$$

$$\text{so } \text{P.D.}(a) = f^*(\text{P.D.}[\mathbb{C}P^1]) = \text{P.D.}[f^{-1}(\mathbb{C}P^1)]$$

$$\text{i.e. } a = [\Sigma]$$



Big Question: given $a \in H_2(X)$ what is the minimal genus of a surface $\Sigma \subset X$ such that $[\Sigma] = a$?

given $[\Sigma], [\Sigma'] \in H_2(X)$

define $[\Sigma] \cdot [\Sigma'] =$ signed count of points in $\Sigma \cap \Sigma'$
(after they are made transverse)

exercise: $[\Sigma] \cdot [\Sigma'] = \langle \text{P.D.}([\Sigma]) \cup \text{P.D.}([\Sigma']), [X] \rangle$

so the "intersection pairing"

$$H_2(X) \times H_2(X) \rightarrow \mathbb{Z}$$

and cup product pairing

$$H^2(X) \times H^2(X) \rightarrow \mathbb{Z}$$

are "dual"

in particular, by Poincaré Duality, it is non-degenerate

it is also symmetric and bilinear

denote it $Q_X: H_2(X) \times H_2(X) \rightarrow \mathbb{Z}$

lemma 8:

If X is a 4-manifold made with only 0, 2-handles (can also have 4-handle)
and A is the linking matrix of the attaching circles of the 2-handles, then

$$H_2(X) \times H_2(X) \rightarrow \mathbb{Z}$$

is given by A in the basis A_i from lemma 6

Proof: recall $lk(L_i, L_j) = \text{signed count } L_i \cap \tilde{\Sigma}_j$

but this = signed count $\Sigma_i \cap \tilde{\Sigma}_j$

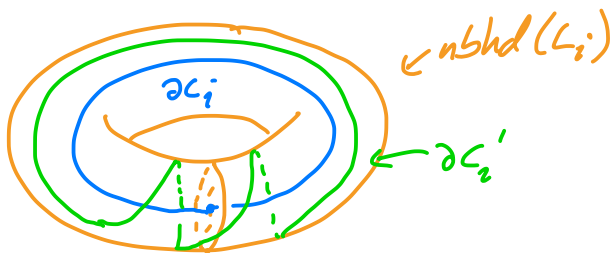
= signed count $\Sigma_i \cap \Sigma_j$

Seifert surface
in $S^3 = \partial B^4$

Seifert surface
for L_j with interior
pushed into B^4

$$\begin{aligned} \text{so } A_i \cap A_j &= (C_i \cup \Sigma_i) \cap (C_j \cup \Sigma_j) \\ &= \Sigma_i \cap \Sigma_j = lk(L_i, L_j) \end{aligned}$$

now for $A_i \cap A_j$ take $(C_i \cup \Sigma_i)$ and $C_j' = \text{parallel to } C_j$



since these link n_i times the surface Σ_i' that
glues to C_j' intersects Σ_i , n_i times

$Q_X: H_2(X) \times H_2(X) \rightarrow \mathbb{Z}$ is an invariant of X

in general, invariants of non-degenerate symmetric
bilinear forms $Q: \mathbb{Z}^r \times \mathbb{Z}^r \rightarrow \mathbb{Z}$ are

1) rank $(Q) = r$

2) type even if $Q(v, v)$ even $\forall v \in \mathbb{Z}^r$
odd otherwise

3) signature

$$\sigma(Q) = b_+ - b_-$$

$b_{\pm}$ = number of $\pm$ eigenvalues

4) definiteness

Q is positive definite if $b_- = 0$
negative definite if $b_+ = 0$
indefinite if $b_+ \neq 0 \neq b_-$

Algebraic Facts:

1) Q even then $\sigma(Q)$ divisible by 8

2) Q odd indefinite $\Rightarrow Q \cong \oplus^p(1) \oplus^q(-1)$ $p, q > 0$

3) Q even indefinite $\Rightarrow Q \cong \pm \oplus^p E_8 \oplus^q \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $q > 0$

4) Q even definite $\Rightarrow$

$\sigma(Q)$	8	16	24	32	40	...
# of Q	1	2	24	7107	$> 10^5$	...

lots!

5) Q odd definite $\Rightarrow \text{even} \oplus (\pm \oplus^p(1))$

Geometric Facts:

1) $X^4 = X_1 \cup X_2$ then $\sigma(X) = \sigma(X_1) + \sigma(X_2)$ ↖ $:= \sigma(Q_X)$

(note X_i not closed, can still define

Q_{X_i} it is just not non-degenerate
but still has signature)

$$2) \sigma(X_1 \# X_2) = \sigma(X_1) + \sigma(X_2)$$

(clear from 1))

$$3) \sigma(-X) = -\sigma(X) \quad (\text{easy})$$

↗ reverse orientation

4) X closed oriented 4-manifold then

$$X = \partial W^5 \Leftrightarrow \sigma(X) = 0$$

5) X closed, oriented, smooth 4-manifold with $\pi_1 = 1$ and Q_X even, then $\sigma(X)$ divisible by 16

(Rokhlin's Th^m 1952)

6) If X is closed, oriented, smooth 4-manifold with

$\pi_1 = 1$ and Q_X is definite, then $Q_X = \pm \oplus_k (1)$

(Donaldson 1983)

so the zoo of definite forms can be ignored when studying smooth 4-manifolds!

Fact: Every closed orientable 3-manifold bounds a 4-manifold $X = 0\text{-handle} \cup 2\text{-handles}$ where the framings are even

(proof is just Kirby calculus, but a bit long)

now let M be a homology 3-sphere

$$(\text{i.e. } H_*(M) \cong H_*(S^3))$$

let X be a 4-manifold as in fact above with $\partial X = M$

since M a homology sphere Q_X is non-degenerate

$\therefore$ Alg. fact 1) $\Rightarrow \sigma(Q_X)$ is divisible by 8

$$\text{set } \mu(M) = \sigma(X)/8 \pmod{2}$$

lemma 9:

$\mu(M)$ is well-defined

Proof: need to see $\mu(M)$ is independent of X

so let X, X' be 2 such 4-manifolds

i.e. $Q_X, Q_{X'}$ even, simply connected,
and $\partial X = M = \partial X'$

$$\text{let } W = X \cup_M -X'$$

W is a closed smooth 4-manifold with $\pi_1(W) = 1$

and Q_W is even

$\therefore$ Rokhlin (geom. fact 5)) $\Rightarrow \sigma(Q_W)$ divisible by 16

that is $\sigma(Y) = \sigma(X) + \sigma(-X') = \sigma(X) - \sigma(X') \equiv 0 \pmod{16}$
↑ geom fact 1)
↑ geom fact 3)

$$\text{so } \sigma(X) \equiv \sigma(X') \pmod{16}$$

$$\text{and } \sigma(X)/8 \equiv \sigma(X')/8 \pmod{2} \quad \square$$

$\mu(M)$ is called the Rokhlin invariant of M

example:

1) P = Poincaré homology sphere

$$= \begin{array}{c} \text{---} 2 \text{---} 2 \text{---} 2 \text{---} 2 \text{---} 2 \text{---} 2 \text{---} 2 \\ \text{---} 2 \end{array}$$

$\leftarrow \sigma = -8$

$$\text{so } \mu(P) = 1$$

exercise: P is also $\mathcal{G}^{-1}$

note: this implies P does not bound a homology 3-ball!

Determining when a homology 3-sphere bounds a homology ball is a major area of study

$$2) \mu(S^3) = 0$$

C. Moves between Kirby diagrams

just like in dimensions 2 and 3 we can do the following manipulations to Kirby diagrams in dimension 4

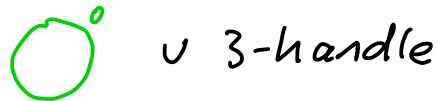
① 1/2-cancelling pairs

add or delete



② 2/3-cancelling pairs

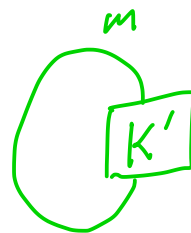
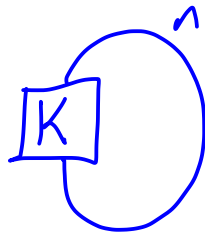
add or delete



exercise: "see" the S^2 that the 3-handle is attached to

③ 2-handle slides

given

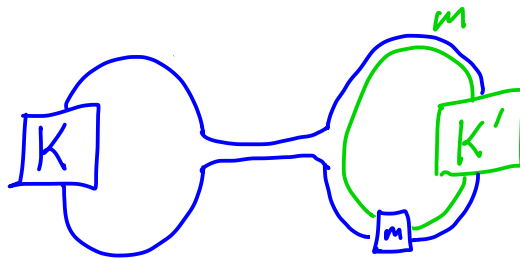


(K, K' might link and go over 1-handles...)

we know we can take a copy of K' using the framing (coming from $\partial D^2 \times \{p\} \subset \partial D^2 \times D^2$ where $p \in \partial D^2$) and push K over it (i.e. isotop over

$$D^2 \times \{p\} \subset D^2 \times \partial D^2 = \mathbb{Z} + \mathbb{Z}^2$$

this gives



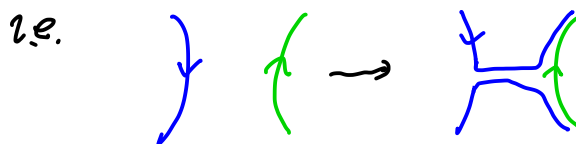
but what is the framing on K after the slide?

here we assume
handles do not
go over
1-handles
so they
represent
 $\mathbb{Z}$ -homology
classes

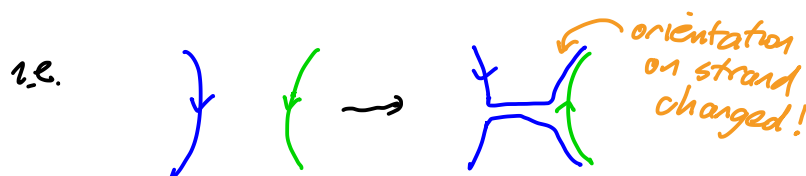
we'll recall, the handle attached to K gives
a $\mathbb{Z}$ -homology class represented by a
surface Σ_K (actually need to orient K
for this, so that Σ_K oriented)

and K' gives a homology class $\Sigma_{K'}$ (after
orienting)

exercise: Show that the homology class
represented by the handle after the slide
is $\Sigma_K + \Sigma_{K'}$ if orientations agree



or is $\Sigma_K - \Sigma_{K'}$ if they disagree



hint: the difference between these classes is
 an embedded 3-manifold with boundary
 orient the manifold and it gives a 3-chain
 whose boundary gives the homology between
 the classes

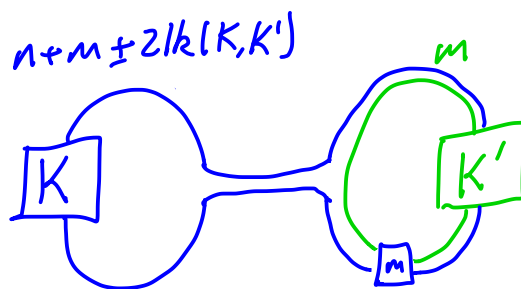
now if h_K and $h_{K'}$ are the homology classes
 associated to the handles attached to
 K and K'

then the knot after the slide represents the
 homology class $h_K \pm h_{K'}$

now from lemma 8 we know the framing on
 the handle after the slide is

$$\begin{aligned} (h_K \pm h_{K'})^2 &= h_K \cdot h_K \pm 2h_K \cdot h_{K'} \pm h_{K'} \cdot h_{K'} \\ &= n + m \pm 2 \text{linking}(K, K') \end{aligned}$$

so the framing after the slide is

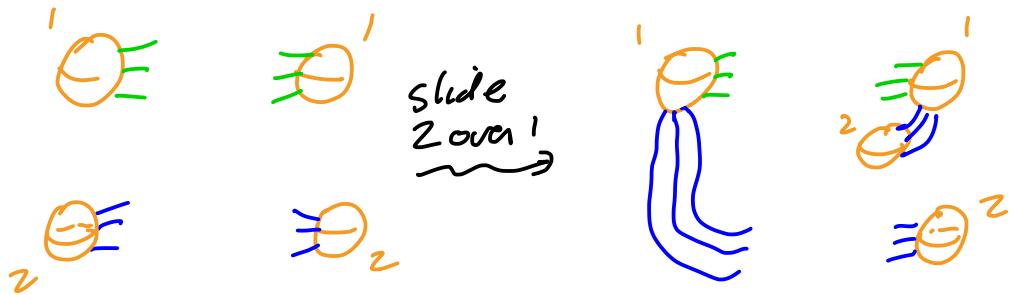


exercise: with the conventions set up for
 framings if K, K' go over 1-handles

show the formula still works.

④ 1-handle slides

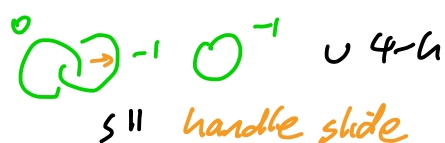
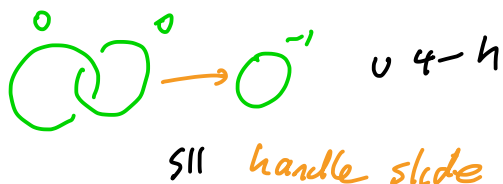
one can usually avoid these, but they are "easy"



exercise: check framing on the blue

example:

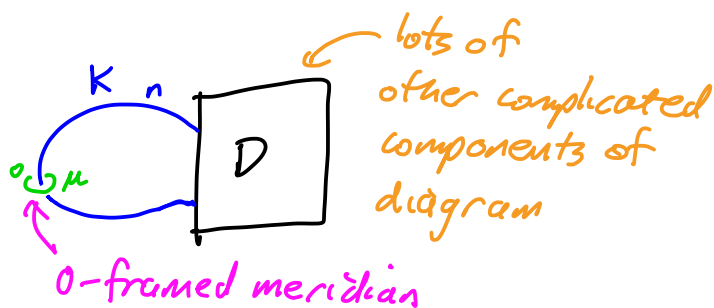
$$(S^2 \times S^2) \# \overline{\mathbb{C}P}^2 \cong \mathbb{C}P^2 \# \overline{\mathbb{C}P}^2 \# \overline{\mathbb{C}P}^2$$



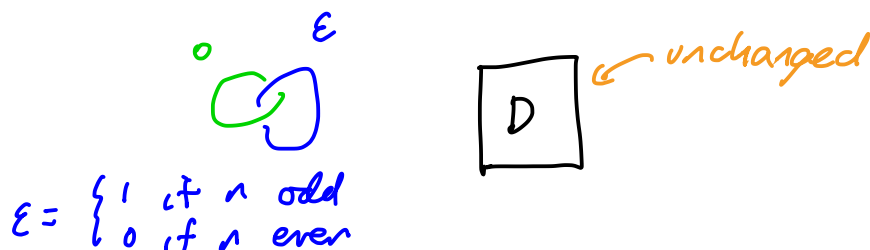
we first observe a very useful handle slide (when you can do it)

lemma 10:

if you have a diagram with only 2-handles and you see

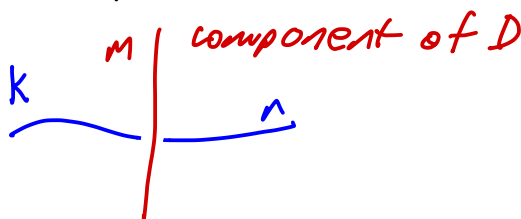


then after handle slides you can get to

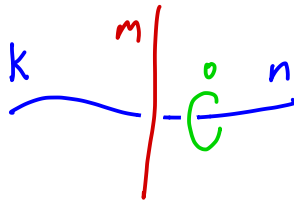


Proof: we first see the blue knot K can be unlinked from D

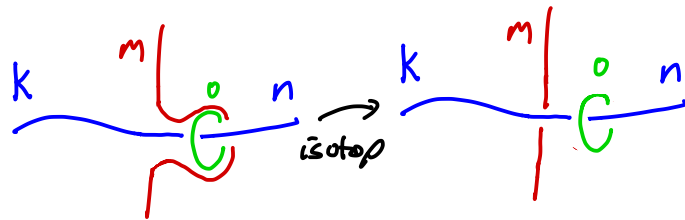
note: if you see a crossing between K and a component of D :



then you can isotop μ to

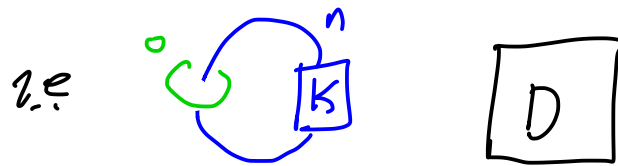


now slide red over μ to get

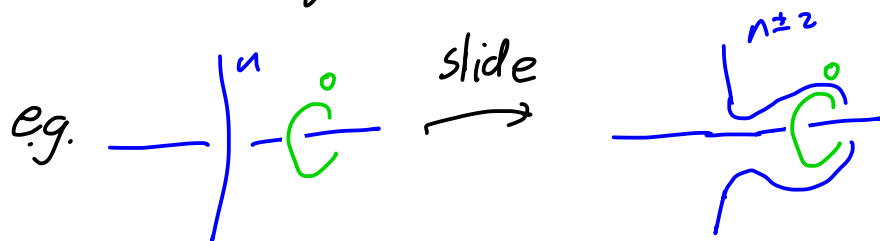


i.e. you can change any crossing of K with components of D

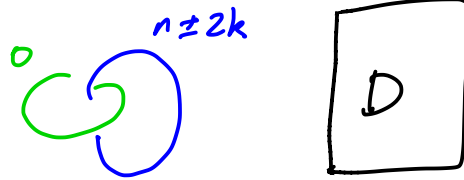
exercise: Using this you can isotop $K \cup \mu$ to be disjoint from D



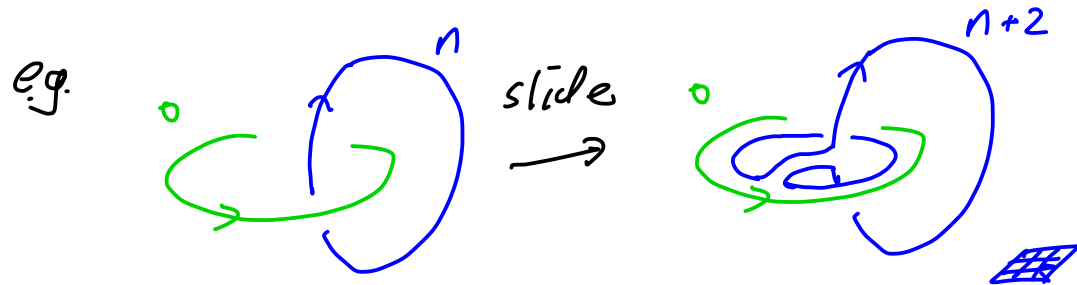
now you can also change crossings of K with itself, but when you do, the framing on K changes by ± 2



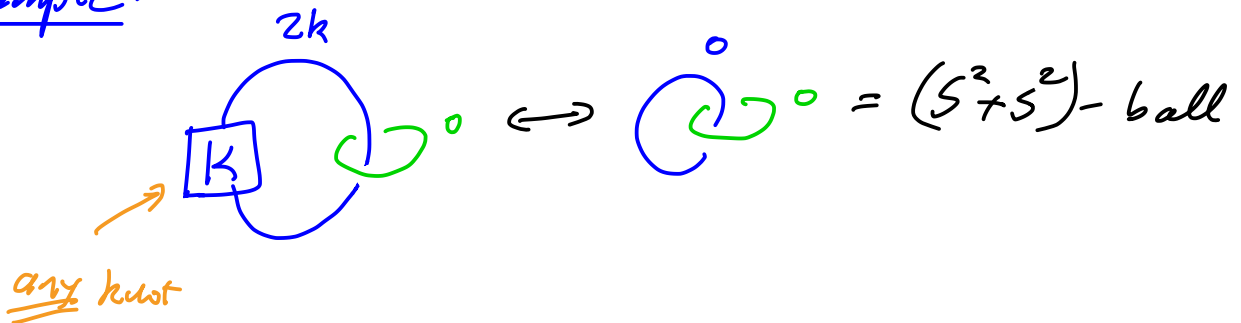
so we get to



now notice you can do further slides of K over μ to change framing by any even #



example:



Construction: Doubling

given a manifold X with $\partial X \neq \emptyset$

the double of X is

$$D(X) = X \amalg \bar{X} \quad \begin{array}{l} \text{identify } \partial X \text{ with } \partial \bar{X} \\ \text{by the identity} \end{array}$$

$\bar{X}$ with reversed orientation

$$\cong \partial(\underbrace{[0,1]}_I \times X)$$

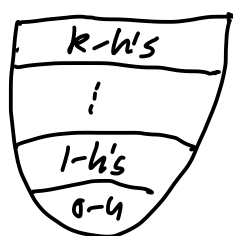
$$= (X \times \{0\}) \cup (\partial X \times [0,1]) \cup (X \times \{1\})$$

$$\frac{S^1}{X \cup X/\sim}$$

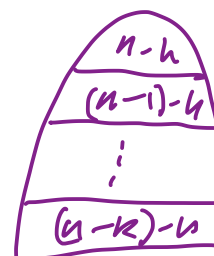
exercise: if X has boundary
 Y does not
 then $D(X \times Y) = D(X) \times Y$

So how do we double a handlebody?

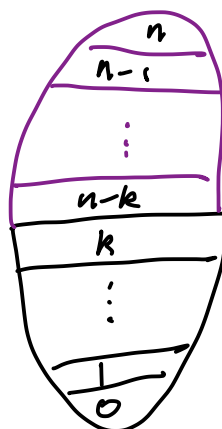
given



take an
 upside down
 copy



and glue



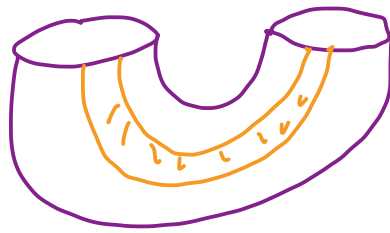
you can think about putting a mirror on
 top of the first handlebody and looking
 at the reflection of the handles

example:

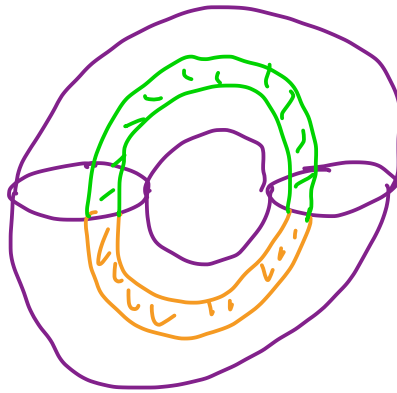
given



we draw so ∂ at top

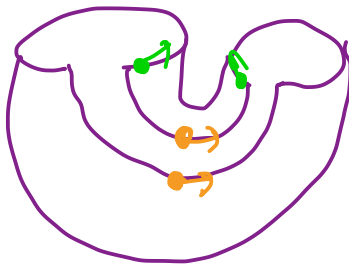


↓ double



note the reflection of
the orange is a
green 1-handle
attached to the
belt sphere of
orange 1-handle

so we can push this into $\partial(0\text{-handle})$ and
see our handles are attached



so



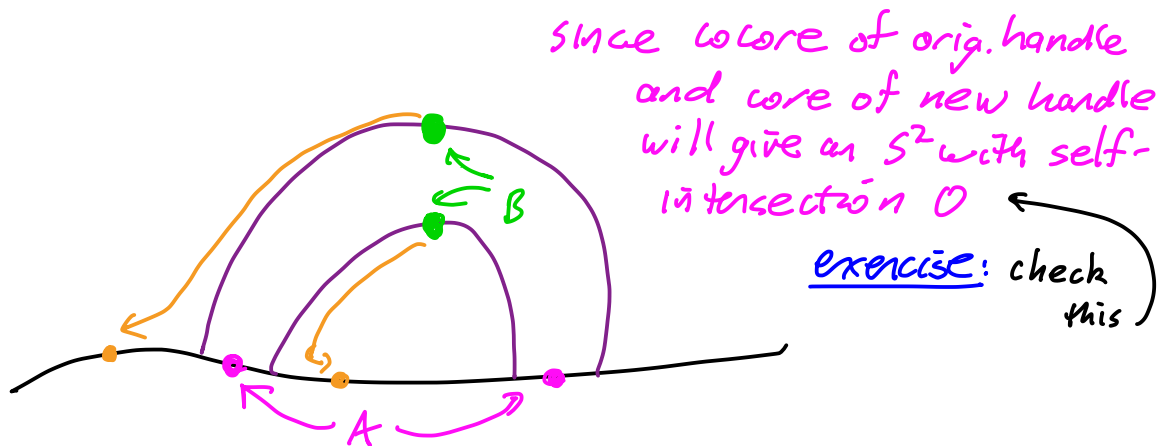
↓ double



We now consider a 4-dimensional manifold with only 0, 1, 2-handles

the 0, 1-handles become 3, 4-handles which we do not need to draw so only concerned with 2-handles

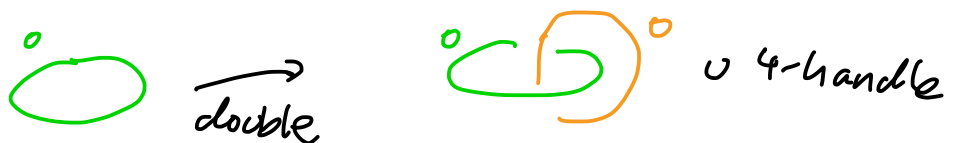
as before we the doubled 2-handle will be a 2-handle attached to the belt sphere with framing 0



as discussed before when belt sphere isotoped into $\partial(D^4)$ it will be a meridian for the original attaching sphere

examples:

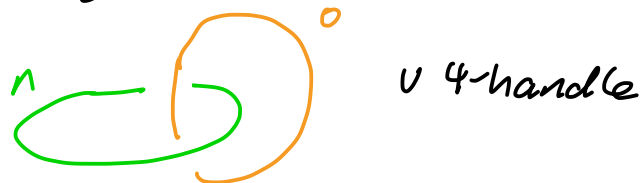
$$1) D(S^2 \times D^2) = S^2 \times D(D^2) = S^2 \times S^2$$



2) more generally the double of the D^2 bundle E_n over S^2 with Euler number n is an S^2 -bundle over S^2

exercise: show this

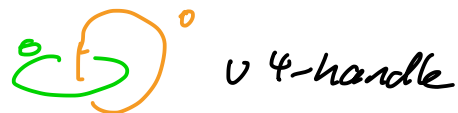
the Kirby diagram is



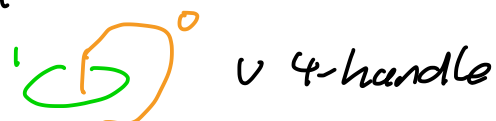
exercise:

"see" the orange 2-handle
the fiber of the S^2 -bundle and
the green gives a section

if n is even the above (by lemma 10) is
diffeo to



and if n odd



exercise:

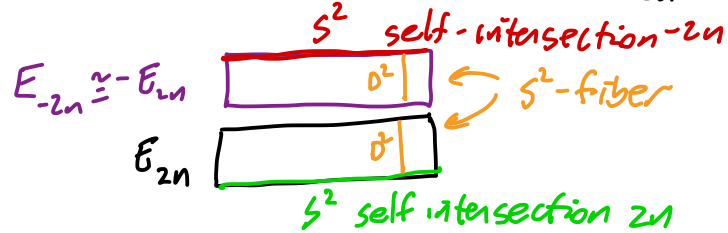
1) Show there are only two S^2 -bundles
over S^2

2) show (without Kirby calculus) that
 $S^2 \times S^2$ contains two disjoint
spheres, one with self-intersection
 $2n$ the other with self-int $-2n$
maybe also do this with Kirby calculus

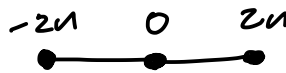
eg in $\begin{array}{c} \text{ }^{\circ} \\ \text{ }^{2n} \end{array} \bigcirc \bigcirc \bigcirc \cup 4\text{-handle}$

where is the disjoint $-2n$ sphere?

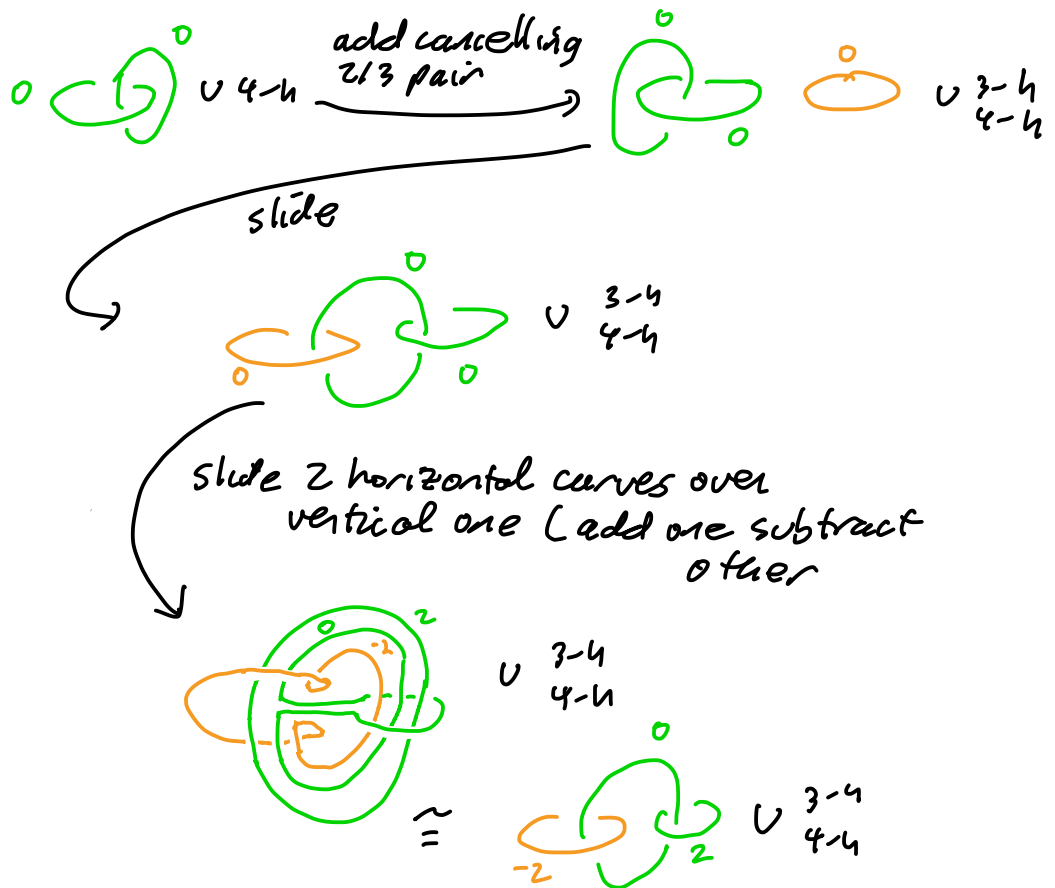
note: since $S^2 \times S^2$ is the double of E_{2n} we see



so in $S^2 \times S^2$ we see the plumbing

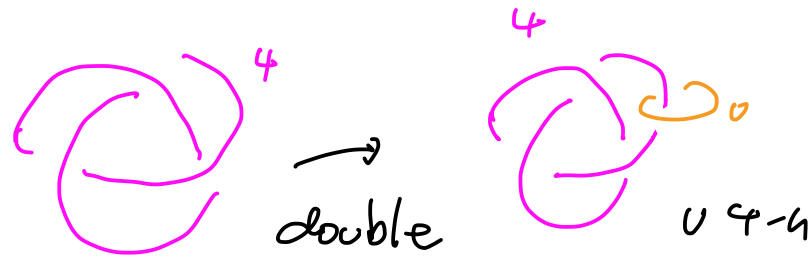


where is it?



note: the complement of $\begin{array}{c} -2 \quad 0 \quad 2 \\ \text{---} \end{array}$ in $S^2 \times S^2$ is $S^1 \times D^3$! (0 & 1-handle)

3)



from lemma 10 this is



so $S^2 \times S^2$

lemma 11:

any compact oriented 3-manifold
embeds in $\#_k S^2 \times S^2$ for some k

Remark: Bizaca and E. used this to build infinitely many smooth structures on $M \times \mathbb{R}$ for any compact, orientable 3-manifold M .

Proof: we noted above any 3-manifold M is the boundary of a 4-manifold X made with one 0-handle and some number of 2-handles attached to $K_1 \dots K_k$ with even framing
now $M \hookrightarrow D(X)$ but a Kirby diagram for $D(K)$

is $K_1 \cup \mu_1 \dots K_k \cup \mu_k$ where μ_i are meridians for K_i and have 0-framing

lemma 10 $\Rightarrow$ can isotop so all K_i are unknots
have 0 framing and are unlinked

i.e. $\bigcirc \dots \bigcirc \cup 4\text{-h} = \#_k S^2 \times S^2$ 

let's use the above to explore surfaces, and their
complement, in $\mathbb{CP}^2$

of course $\mathbb{CP}^2$ is

$\bigcirc^{+1} \cup 4\text{-handle}$

so we see an S^2 with self-intersection 1
and a complement of its nbhd
is B^4

if we take this sphere and push off a second copy
we see the plumbing

$\begin{matrix} +1 & +1 \\ \bullet & \text{---} & \bullet \end{matrix}$

in $\mathbb{CP}^2$. How can we "see" this in
the Kirby diagram?

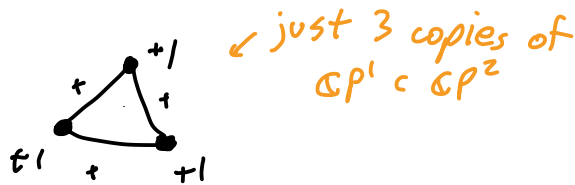
$\bigcirc^{+1} \cup 4\text{-handle} \xrightarrow[2/3 \text{ pair}]{\text{add}} \bigcirc^{+1} \bigcirc^0 \cup \begin{matrix} 3\text{-handle} \\ 4\text{-handle} \end{matrix}$

$\xrightarrow[\text{slide}]{\text{handle}} \bigcirc^{+1} \bigcirc^{+1} \cup \begin{matrix} 3\text{-handle} \\ 4\text{-handle} \end{matrix}$

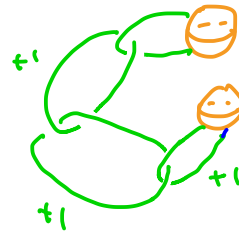
now we see 

and the complement is $S^1 \times D^3 = 0-4 \cup 1-4$

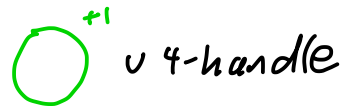
now let's try



from above we know a Kirby diagram for this is



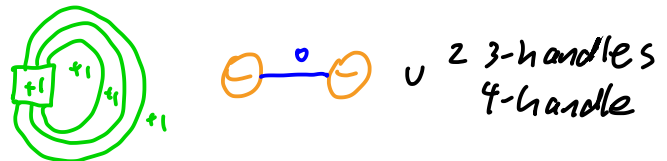
now S^2 is



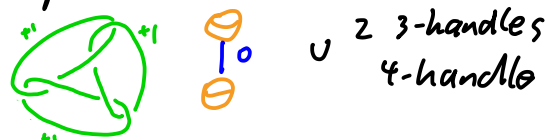
add 2 pairs of cancelling 2/3 pairs and a cancelling 1/2 pair



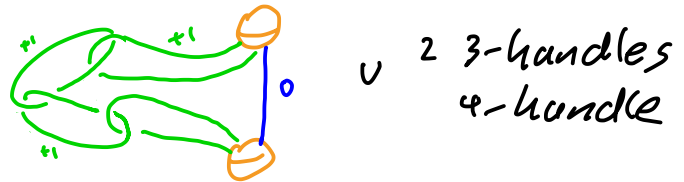
slide 2 green 0-framed handles over +1



isotop



slide one of the $+1$ framed handles over blue $\times 2$



$\cup$ 2 3-handles
4-handle

so we see $\triangle$ in Sp^2 !

what's its complement?

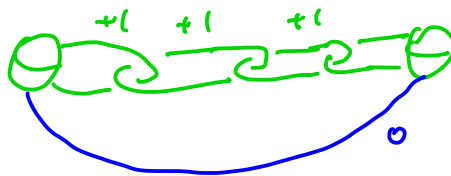
Well it is the blue 2-handle $\cup$ 2 3-handles
 $\cup$ 4-handle

to see this we turn these handles upside down!
so we think of them as attached to boundary
of the plumbing which is the 3-mfd



now green
means
3-manifold
and blue means
4D 2-handles

so complement is



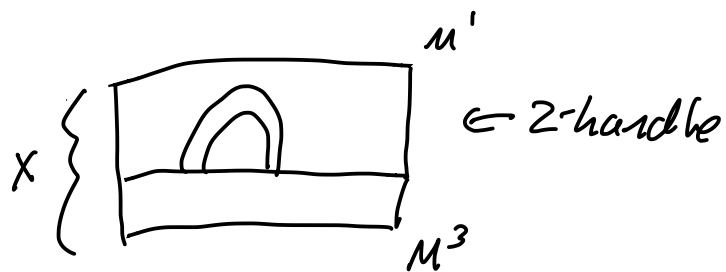
$\cup$ 2 3-handles
4-handle

we know the 3 and 4-handles upside down
are θ θ_1

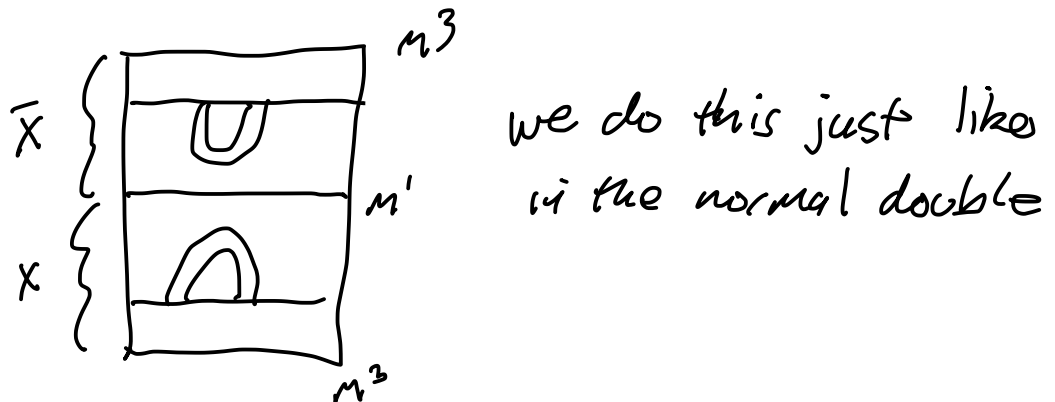
θ θ_2

we need to see how upsidedown blue
handle is glued on

for this we do a "relative double"



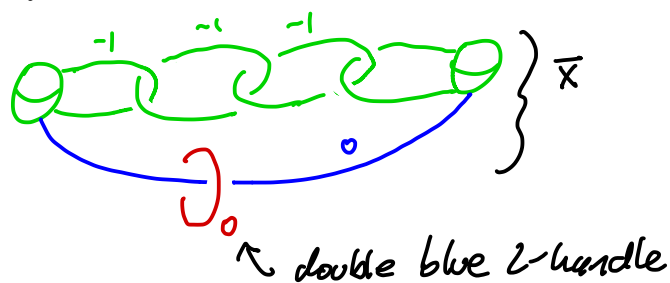
take copy of this and glue upside down



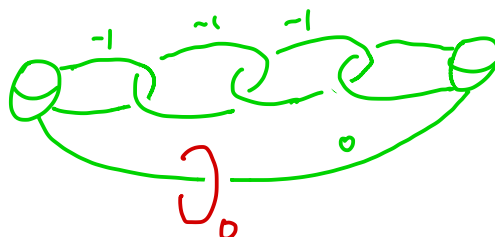
but to get X upside down need to start with $\bar{X}$

exercise: to get $\bar{X}$ from X just mirror the knots and negate framings

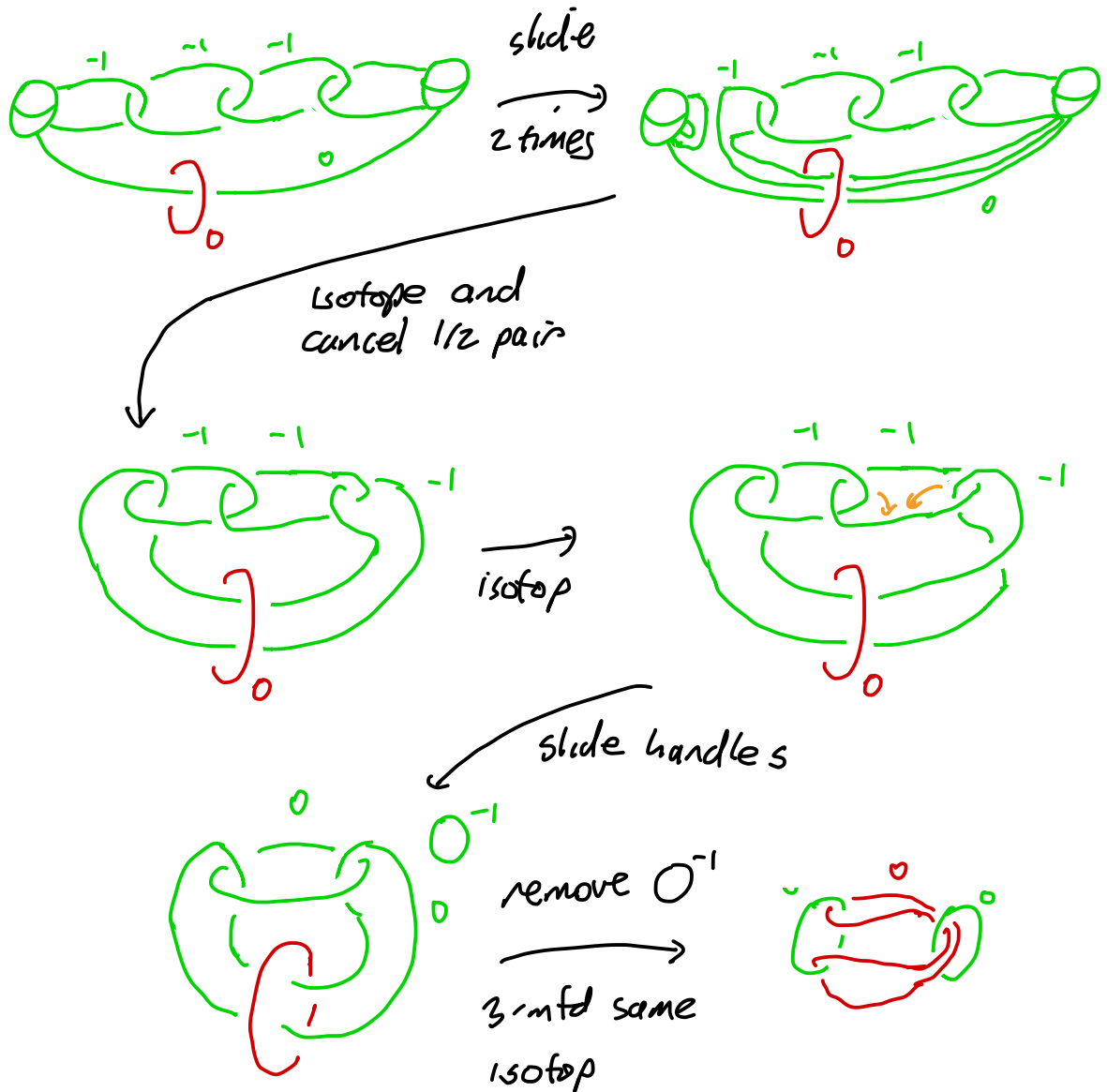
so we have



so upside down X is



we know you can glue 2 3-handles and a 4-handle
 to the green 3-manifold so it is $\#_2 S^1 \times S^2$
 let's manipulate the diagram until we see
 (and drag the red handle along)

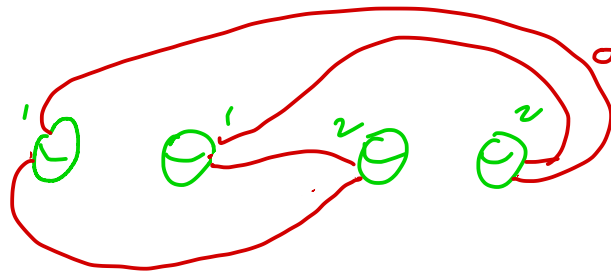


note:

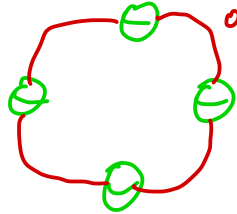


but this is the
 upside down 3 and 4
 handles

so the complement of the plumbing is

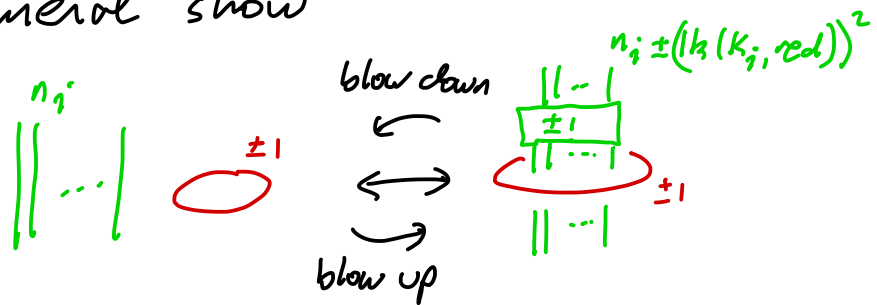


isotop to

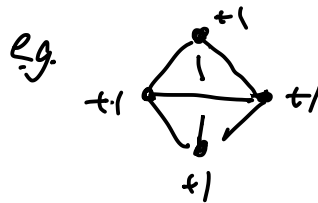


so complement of plumbing is wkhd of T^2 !

exercise: above we used a useful move
in general show

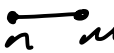


exercise: can also find a "complete graph on
n vertices" with each vertex labeled ± 1 in $\mathbb{C}P^2$



see this in Kirby diagram and
determine complement.

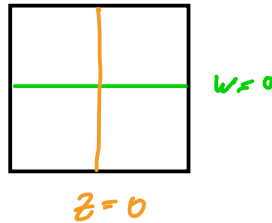
note: if you see $n \quad m$  call S_1, S_2 orient so $n, s +$

then you can create $\bullet^{n+m+2}$ or $\bullet^{n+m-2}$
in a nbhd of $n \quad m$ 

to see this notice that a nbhd of the intersection point is

$$D^4 = D^2 \times D^2 \subset \mathbb{C}^2$$

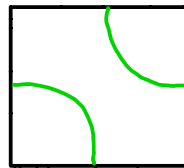
$$\begin{array}{cc} \subset & \cup \\ D^2 \times \{0\} & D^2 \times \{0\} \\ \{z=0\} & \{w=0\} \end{array}$$



so surfaces near intersection are

$$zw = 0$$

consider $zw = \epsilon$ $\epsilon > 0$



this is an annulus A_+

$$\begin{aligned} \phi: S^1 \times (0, \infty) &\rightarrow \mathbb{C}^2 \\ (\theta, r) &\mapsto \epsilon(re^{i\theta}, \frac{1}{r}e^{-i\theta}) \end{aligned}$$

we can replace the 2 intersecting disks
by this annulus to get a
surface $S_1 \# S_2$

exercise:

$S_1 \# S_2$ is homologous to $S_1 \cup S_2$

hint: region between them
is a 3-manifold with
boundary

so $S_1 \# S_2$ is an S^2 with self
intersection

$$\begin{aligned} [S_1 \cup S_2]^2 &= ([S_1] + [S_2])^2 \\ &= [S_1]^2 + 2[S_1] \cdot [S_2] + [S_2]^2 \\ &= n + 2 + m \quad \text{done!} \end{aligned}$$

you can use $A_- = \{zw = -\varepsilon\}$ to get

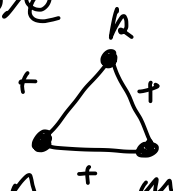
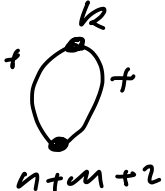
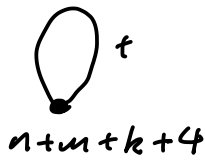
$S_1 \# -S_2$ with self-int. $n+m-2$

in general


 gives
 

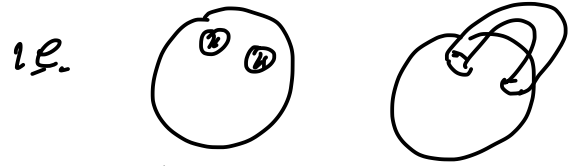
$$(g_1, n_1) \quad (g_2, n_2) \quad (g_1 + g_2, n_1 + n_2 \pm 2)$$

even more

there are just as above

but now when you "resolve" last
double point, you take "internal"
connect sum



ie. get a torus!

so we get $(1, n+m+k+6)$

exercise:

show the homology class $n \in \mathbb{Z} \cong H_2(\mathbb{C}P^2)$
(where $[\mathbb{C}P]$
generates H_2)

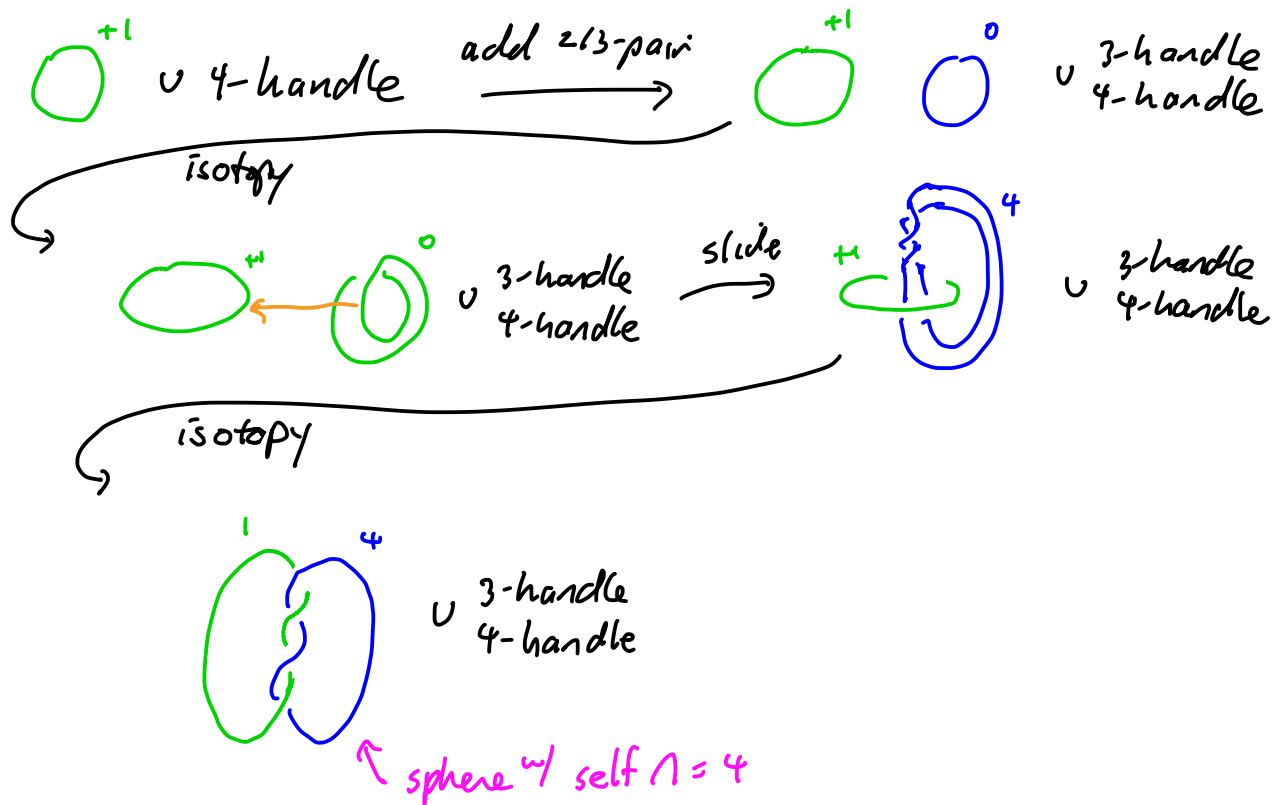
for n positive is represented by

a surface of genus $\frac{(n-1)(n-2)}{2}$ with
self-intersection n^2

(eg. from above $\bullet \text{---} \bullet$ has $\bullet^4$ in
its nbhd)

so $\exists$ a sphere of self intersection 4 in $\mathbb{C}P^2$

let's "see" $\bigcirc^4$ in $\mathbb{C}P^2$



What is its complement?

it is made up of a 2-h, 3-h, 4-h

the 4,3-handles upside down is 

we need to see where the 2-handle goes

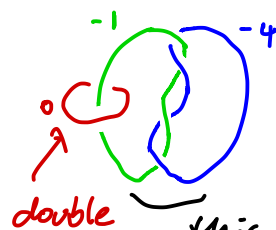
as we did above we think of $\bigcirc^4$ as

representing a 3-manifold and

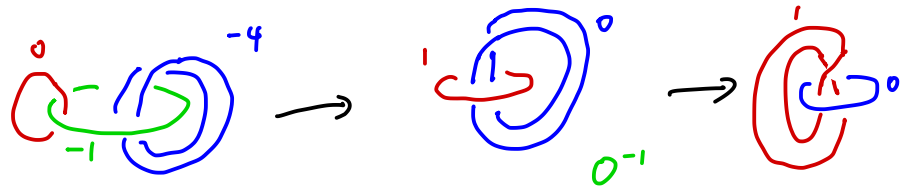
the green 2-handle is attached to it

we turn this upside down

(need to reverse orientation)



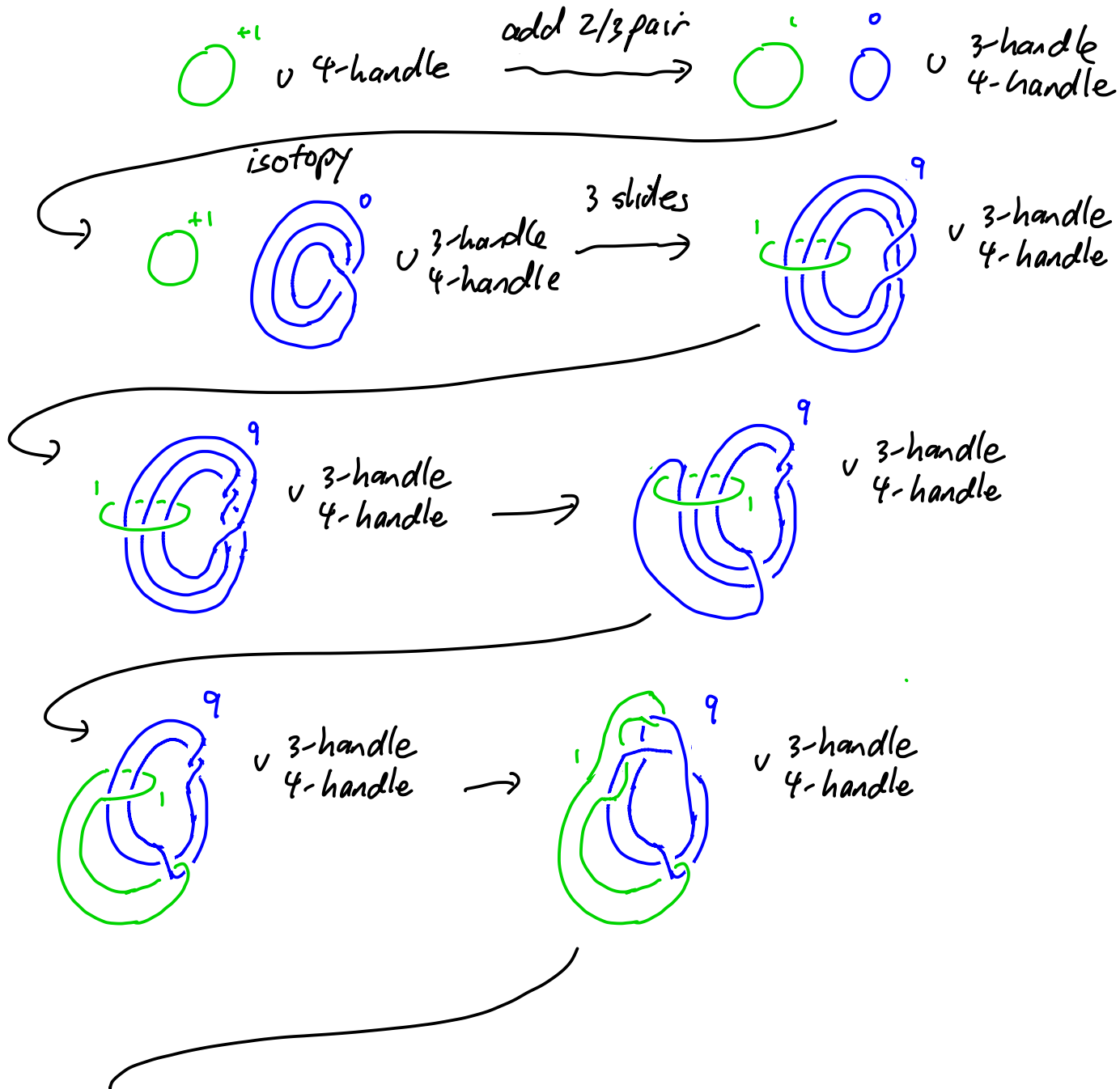
this describes $S^1 \times S^2$ (so can glue 3,4-handle)

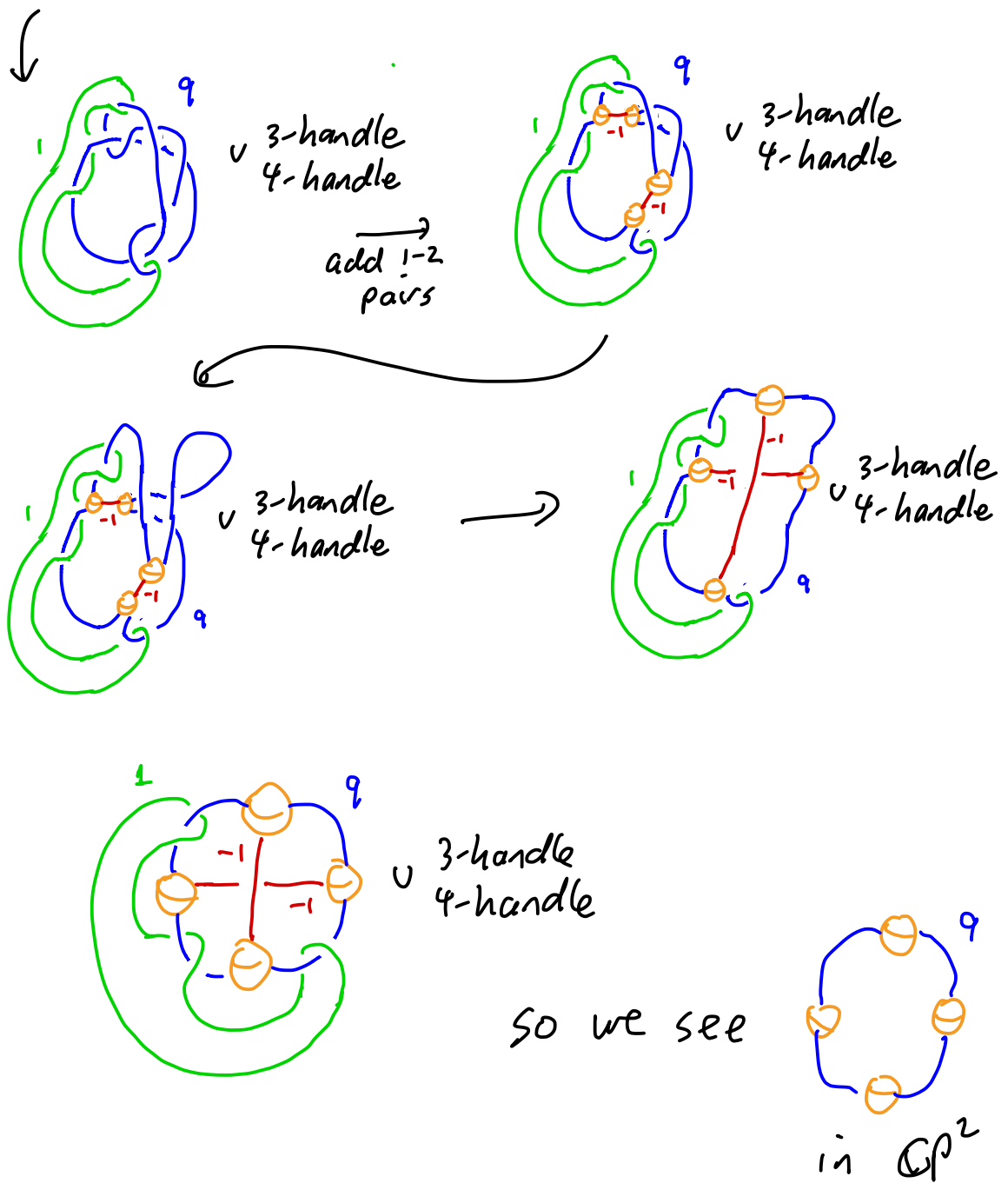


the blue is $S^1 \times S^2$ can think of as $\partial(0,1\text{-handle})$
 so complement of $\bigcirc^4$ is



now we know a torus with self $\cap 9$
 let's see it





exercise: find the complement of $\bullet^{(1,9)}$ in $\mathbb{C}P^2$

example:

the Mazur manifold is



exercise: Show $\pi_1(M) = \{e\}$

$$\text{and } H_*(M) \cong H_*(B^4)$$

so M is contractible (i.e. $\simeq B^4$) homotopy equivalent

is M homeomorphic to B^4 ?

No

exercise: 1) ∂M is not simply connected

2) let $\Sigma(2, 5, 7) = \{z_1^2 + z_2^5 + z_3^7 = 0\} \cap S^5$ unit sphere in $\mathbb{C}^3$

$$\text{show } \partial M = \Sigma(2, 5, 7)$$

(need to find a surgery diagram for $\Sigma(2, 5, 7)$)

so in 4D $\simeq B^4$ don't have to be B^4

$$(\text{in } 2D, 3D, \simeq B^n \Rightarrow \cong B^n)$$

note: $M \times [0, 1]$ is a 5-manifold made with a 0, 1, 2-handle the 2-handle is attached to a curve in

$$S^3 \subset S^4 \cong B^5 \text{ (equator)}$$

since "crossings" in S^4 can be changed

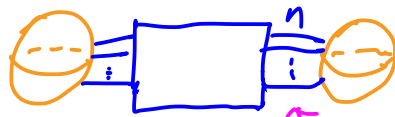
$$M \times [0, 1] \cong \text{[diagram of two spheres connected by a line with a 0]} \cong B^5$$

so $M \times [0, 1] = B^4 \times [0, 1]$! (terms in product not uniquely determined)

$$\text{also } \partial(M) = \partial(M \times [0, 1]) = S^4$$

$$\therefore \Sigma(2, 5, 7) = \partial M \text{ embeds in } S^4!$$

a generalized Mazur is anything of the form



goes over 1-handle
algebraically 1 time

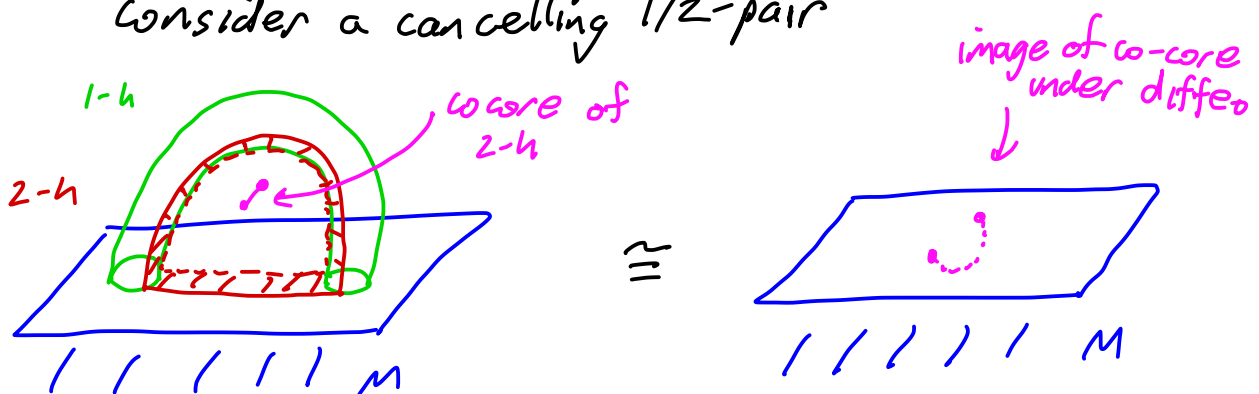
any such manifold has same properties as above

Fun Fact: if M is a generalized Mazur manifold
and ∂M is a Heegaard Fiber L -space
then $M \cong B^4$ (Lorway-Tosun)

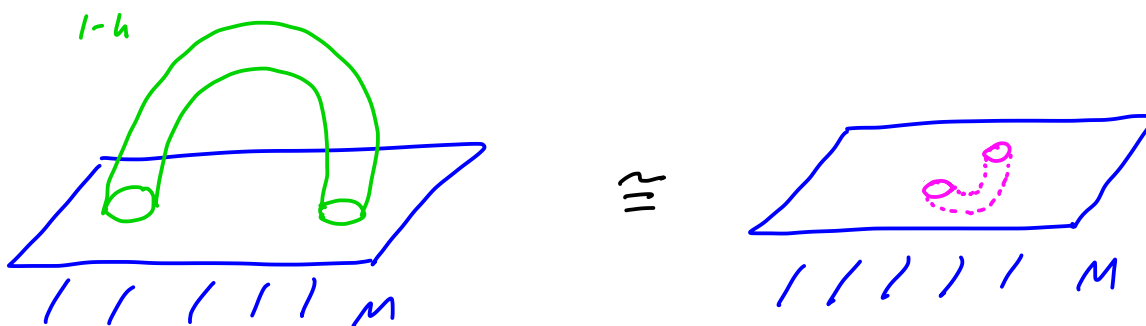
D. 1-handles again

let's start in 3D:

Consider a cancelling 1/2-pair



removing a nbhd of the co-core is the same
as removing the 2-handle
 $\therefore$ same as adding the 1-handle



note: the arc (= co-core) on the left
is isotopic to an arc in ∂M
connecting end points

easy to see if you

- 1) take any arc α in ∂M
- 2) push its interior into $\text{int } M$
- 3) remove a neighborhood of the arc

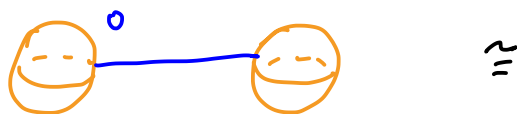
they you have attached a
1-handle to M

exercise:

- 1) check this
- 2) show a 2-handle "goes over"
the 1-handle if it crosses
 α in ∂M

now for 4D:

again consider a cancelling 1/2 pair



the boundary of the core of the 2-handle (as we have
seen above) is



removing a nbhd of the core removes the 2-handle
and leaves the 1-handle

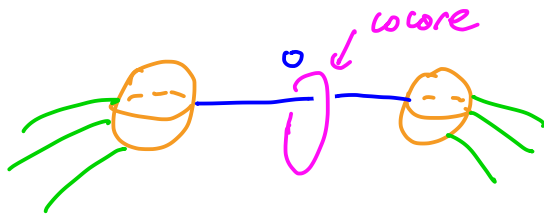


↑ take disk this
unknot bounds and
push it into interior of
0-handle, then remove a
nbhd of it

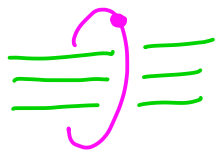
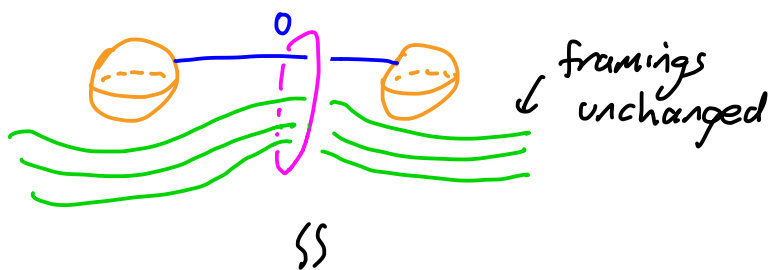
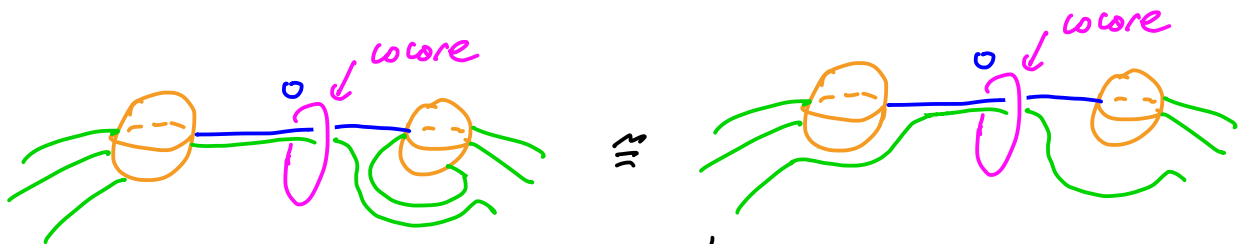
denote this by



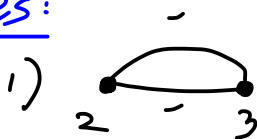
now what happens if 2-handles run over 1-handle



slide green over blue



examples:



2) T^3

